



# Firm Overview

Navigate Your Future. Enjoy the Journey.

## ABOUT CLARK CAPITAL

For 34 years, Clark Capital has provided traditional and innovative investment strategies with a common goal: to help you deliver great results to your clients. As an independent asset manager, we offer a true partnership to financial advisors and their clients.

Our experienced team of professionals and disciplined investment strategies combine to help you create personalized portfolios tailored to each investor's goals.

The firm's investment process utilizes two engines to seek superior risk-adjusted returns across fixed income, equities and alternatives: a quantitative, top-down relative strength methodology and a fundamental, bottom-up methodology. We believe diversification of methodologies helps drive portfolios toward opportunity and away from undue risk.

## OUR INVESTMENT PHILOSOPHY

Whether your clients seek growth, income or protection, we collaborate with you to create the right portfolio for each investor's unique needs.

Our disciplined and research-driven investment philosophy is grounded in three core principles:



### Meaningful Diversification

Multiple global asset classes and methodologies are incorporated into a portfolio aligned to the client's life goals.



### Opportunistic Asset Allocation

We utilize an active approach to take advantage of growth opportunities in a rapidly changing global marketplace.



### Personalized Risk Management

Help clients remain committed to reaching their long-term goals, regardless of the ups and downs of the markets.

# 34

Years of Asset  
Management Excellence

# \$26.5

Billion in Assets\*

# 100%

Employee Owned,  
Independent Firm

# 29

Investment  
Professionals

# 28.8

Average Years of Investment  
Professionals' Industry Experience

\*As of 6/30/2021.

Includes assets under management and sub-advised assets



## ASSET MANAGEMENT EXCELLENCE

Clark Capital is honored to be recognized by the following leading industry publications and institutions for our dedication to serving advisors and their clients.

<b>2020 INDUSTRY AWARDS WINNER</b>		<b>Asset Manager of the Year Distribution Excellence</b>
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**REFINITIV LIPPER  
FUND AWARDS**  
2021 WINNER  
UNITED STATES



2017  
**SMA  
MANAGERS  
of the YEAR**



Informa Investment Solutions  
Financial Intelligence | Informa  
**PSN  
Top Guns Award**  
Manager of the  
Decade



2018  
**THOMSON REUTERS  
LIPPER FUND AWARDS**  
UNITED STATES

**Contact us today to learn how Clark Capital can help you deliver successful outcomes to your clients. Call 800-766-2264 or visit [www.ccmg.com](http://www.ccmg.com).**

There is no guarantee of the future performance of any Clark Capital investment strategy. Clark Capital Management Group, Inc. reserves the right to modify its current investment strategies and techniques based on changing market dynamics or client needs. The opinions expressed are those of Clark Capital Management Group and are subject to change without notice.

Clark Capital Management Group, Inc. is an investment adviser registered with the U.S. Securities and Exchange Commission. Registration does not imply a certain level of skill or training. More information about Clark Capital's advisory services can be found in its Form ADV, which is available upon request. Past performance is not indicative of future results. The awards shown are not indicative of the adviser's future performance and may not be representative of any one client's experience because the rating reflects an average of all, or a sample of all, the experiences of the adviser's clients.

Money Management Institute and Barron's selected finalists for both Asset Manager of the Year (\$10 - \$50 billion) and Distribution Excellence by the specially-appointed MMI Industry Awards Steering Council and the MMI Membership Experience Committee (MEC). Award winners were determined by a vote of primary contacts at each member firm. These individuals received an online ballot to cast their votes among the finalists. These awards are not indicative of Clark Capital's future performance nor may it be representative of any one client's experience.

Lipper Fund Awards from Refinitiv, ©2020 Refinitiv. All rights reserved. Used under license. The Navigator Tactical Fixed Income Fund, Class I (NTBIX) received a Refinitiv Lipper Award for the Best Fund over the past 5 years in the Alternative Credit Focus Fund category among 27 funds.

The Refinitiv Lipper Fund Awards, granted annually, highlight funds and fund companies that have excelled in delivering consistently strong risk-adjusted performance relative to their peers. The Refinitiv Lipper Fund Awards are based on the Lipper Leader for Consistent Return rating, which is a risk-adjusted performance measure calculated over 36, 60 and 120 months. The fund with the highest Lipper Leader for Consistent Return (Effective Return) value in each eligible classification wins the Refinitiv Lipper Fund Award.

Investment Advisor magazine selected finalists for the 13th Annual Separately Managed Account (SMA) Manager and Strategist of the Year Awards using Investnet | PMC's proprietary, systematic, and multi-factor methodology for evaluating managers. This framework takes a variety of qualitative and quantitative criteria into consideration, such as investment process and style, performance, firm profile, customer service, and tax efficiency. To qualify for an SMA Manager and Strategist of the Year Award, a manager's team must have at least three years of experience running a strategy with \$200 million or more in assets. A manager's product is also required to be reported through Investnet | PMC's Premium Research solutions, which includes more than 54,000 advisors and 2,500 companies including: U.S. banks, wealth management and brokerage firms, Registered Investment Advisers, and Internet services companies.

The Thomson Reuters Lipper Fund Awards, granted annually, highlight funds and fund companies that have excelled in delivering consistently strong risk-adjusted performance relative to their peers. The Lipper Fund Awards are based on the Lipper Leader for Consistent Return rating, which is a risk-adjusted performance measure calculated over 36, 60 and 120 months. The fund with the high-

est Lipper Leader for Consistent Return (Effective Return) value in each eligible classification wins the Lipper Fund Award. For more information, see [liper.alpha.financial.thomsonreuters.com/lipper](http://liper.alpha.financial.thomsonreuters.com/lipper). Although Lipper makes reasonable efforts to ensure the accuracy and reliability of the data contained herein, the accuracy is not guaranteed by Lipper.

The PSN universes were created using the information collected through the PSN investment manager questionnaire and use only gross of fee returns. Mutual fund and commingled fund products are not included in the universe. PSN Top Guns investment managers must claim that they are GIPS compliant. Products must have an R-Squared of 0.80 or greater relative to the style benchmark for the ten year period ending December 31, 2017. Moreover, products must have returns greater than the style benchmark for the ten year period ending December 31, 2017 and also Standard Deviation less than the style benchmark for the ten year period ending December 31, 2017. At this point, the top ten performers for the latest 10 year period ending December 31, 2017 become the PSN Top Guns Manager of the Decade. This designation may not be representative of any one client's experience because the rating reflects an average of all, or a sample of all, the experiences of investors in the strategy. Though the strategy was in the top ten, it was not ranked first in the top ten category for each period. The relative strength measure is based on historical information and should not be considered a guaranteed prediction of market activity. It is one of many indicators that may be used to analyze market data for investing purposes. The relative strength measure has certain limitations such as the calculation results being impacted by an extreme change in security price.

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